

Attorney's Docket No.: 09857-072001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter Martyn and Karen Peterson Art Unit : 2161 Serial No.: 10/001,240 Examiner: Unknown

Filed : November 14, 2001

Title : ODD LOT PROCESSING IN CENTRALIZED AUTOMATED MARKET

**SYSTEM** 

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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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## INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804 Telephone: (617) 542-5070

Facsimile: (617) 542-8906

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Denis G. Maloney Reg. No. 29,670

GROUP 3600

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Substitute Form PTO-1449 (Modified)

1 2003 Information (Use seve

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 09857-072001

Application No. 10/001,240

information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant

Peter Martyn and Karen Peterson

Filing Date

Group Art Unit

Ŕ §1.98(b)

November 14, 2001

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,035,287		Stallaert et al.			3/7/00
	AB	6,278,983		Ball			8/21/01
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
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Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Trans Yes	
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)				
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	AQ	REC		
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				